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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kok Liang TAN and Hong Meng LIM

U.S. Serial No.: 10/578,762

Filed : May 5, 2006

For : ORTHODONTIC APPLIANCE

Law Offices of Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, NY 11357

September 18, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants would like to direct the Examiner's attention to the following references which are listed below, and on Forms PTO/SB/08A and PTO/SB/08B (which are attached hereto as **Exhibit A**, 2 pages), and further attached as **Exhibits 1-2**.

1. PCT International Search Report for Innobrace Orthodontics Pte Ltd, Int'l App'l No. PCT/SG2003/000259, Filed November 7, 2003, [Date: 8 January 2004]
2. German Patent No. DE 19618364 A1, for Bernhard Foerster GMBH, November 13, 1997 "Orthodontic Bracket Made of Plastic" (with English translation and signed Certificate of Translation)
3. United States Patent No. 5,356,289, for WATANABE, October 18, 1994 "Orthodontic Bracket"
4. United States Patent No. 5,271,733, for CHIKAMI et al, December 21, 1993 "Device for Correcting Teeth Irregularities"

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5. United States Patent No. 5,174,754, for MERITT, December 29, 1992 "Self-ligating, Self-locking Dental Bracket with T-shaped Archwire Slot"
6. United States Patent No. 4,936,774, for STOLLER et al, June 26, 1990 "Orthodontic Mirror Image Brackets to Removably Receive the End Portions of Lingual Arch Wires"
7. United States Patent No. 3,964,165, for STAHL, June 22, 1976 "Elastomeric Orthodontic Apparatus"
8. United States Patent No. 3,464,112, for SILVERMAN et al, September 2, 1969 "Orthodontic Appliance"
9. United States Patent No. 3,327,393, for BRADER, June 27, 1967 "Orthodontic Arch Wire Edgewise Brackets"
10. United States Patent No. 5,238,403, for SCHUDY, August 24, 1993 "Orthodontic Bracket"
11. United States Patent No. 5,174,753, for WOOL, December 29, 1992
12. United States Patent No. 4,793,804, for SCHUDY, Dec 27, 1988
13. United States Patent No. 3,088,4437, for NEGER, April 9, 1963

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Copies of the above U.S. Patent and Published Applications are on file at the USPTO; therefore, a copy will not be provided. Applicants attorney's office may be contacted in the event that the Examiner would like a copy of all the above references.

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if a fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,

*Albert Wai Kit Chan*

Albert Wai-Kit Chan  
Registration No. 36,479  
Attorney for Applicants  
Law Offices of  
Albert Wai-Kit Chan, LLC  
World Plaza, Suite 604  
141-07 20<sup>th</sup> Avenue  
Whitestone, New York 11357  
Tel: (718) 799-1000  
Fax: (718) 357-8615  
e-mail:chank@kitchanlaw.com

I hereby certify that this paper is being deposited this date with the U.S. Postal Service with sufficient postage for first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450  
*Albert Wai Kit Chan* 9/12/06  
Albert Wai-Kit Chan Date  
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2**Complete if Known**

Application Number	10/578,762
Filing Date	May 5, 2006
First Named Inventor	Kok Liang TAN
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	1189-PCT-US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	3	US- 5356289 A	Oct-19-1994	WATANABE	
	4	US- 5271733 A	Dec-21-1993	CHIKAMI et al	
	5	US- 5174754 A	Dec-29-1992	MERITT	
	6	US- 4936774 A	Jun-26-1990	STOLLER et al	
	7	US- 3964165 A	Jun-22-1976	STAHL	
	8	US- 3464112 A	Sep-2-1969	SILVERMAN et al	
	9	US- 3327393 A	Jun-27-1967	BRADER	
	10	US- 5238403 A	Aug-24-1993	SCHUDY	
	11	US- 5174753 A	Dec-29-1992	WOOL	
	12	US- 4793804 A	Dec-27-1988	SCHUDY	
	13	US- 30884437 A	Apr-9-1963	NEGER	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
	2	DE19618364A1	Nov-13-97	Berndhard Forster GmbH		

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SignatureDate  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Application Number	10/578,762
		Filing Date	May 5, 2006
		First Named Inventor	Kok Liang TAN
		Art Unit	Not Yet Known
		Examiner Name	Not Yet Known
Sheet 2	of 2	Attorney Docket Number	1189-PCT-US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	International Search Report for Innobrace Orthodontics Pte Ltd et al., for International Application No. PCT/SG2003/000259, dated January 8, 2004	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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